# 1,3-Asymmetric induction by planar chiral ( $\eta^{4}$-diene) $\mathrm{Fe}(\mathrm{CO})_{3}$ complexes in Diels-Alder reaction 

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#### Abstract

The Diels-Alder reaction of 1,3 -dienes with $\alpha, \beta$-unsaturated ketones adjacent to planar chiral ( $\eta^{4}$-1,3-diene) Fe( CO$)_{3}$ complexes in the presence of Lewis acid proceeded diastereoselectively. The dienophiles reacted with cyclopentadiene to give the endo adducts stereoselectively. The Lewis acid affected remarkably the diastereoselectivity in the reaction.


Keywords: Iron; Carbonyl; Diene; Diolefin; Ketone; Planar chiral; Diels-Alder reaction

Planar chiral ( $\eta^{4}$-1,3-diene) $\mathrm{Fe}(\mathrm{CO})_{3}$ complexes have received considerable attention as useful reagents for organic synthesis [1]. They can serve as chiral auxiliaries for asymmetric induction, and also as reagents introducing 1,3-diene groups into organic compounds. One of the attractive applications of the ( $\eta^{4}-1,3-$ diene $) \mathrm{Fe}(\mathrm{CO})_{3}$ complexes is the asymmetric Diels-Alder reaction owing to their planar chirality [2,3]. Although a variety of asymmetric syntheses has been reported by use of the planar chiral ( $\eta^{4}-1,3$ diene $) \mathrm{Fe}(\mathrm{CO})_{3}$ complexes, most of them have been confined to 1,2-asymmetric induction and little is known about more remote asymmetric induction. The only exceptional case is 1,4 -asymmetric induction in the aldol condensation reaction by chiral ( $\eta^{4}$-1,3-diene)$\mathrm{Fe}(\mathrm{CO})_{3}$ complexes [4], however, its diastereoselectivity is poor. In this paper, we wish to report an example of 1,3 -asymmetric induction based on the planar chiral ( $\eta^{4}-1,3$-diene $) \mathrm{Fe}(\mathrm{CO})_{3}$ complexes in the Diels-Alder reaction of $\alpha, \beta$-unsaturated ketones adjacent to planar chiral ( $\eta^{4}-1,3$-diene) $\mathrm{Fe}(\mathrm{CO})_{3}$ complexes.
$\alpha, \beta$-Unsaturated ketones ( 1 ) were prepared by reaction of ( $\eta^{4}-1,3$-dienecarboxylic acid chloride) $\mathrm{Fe}(\mathrm{CO})_{3}$ and vinyltrimethylsilane [5]. The Diels-Alder reaction of 1 with cyclopentadiene was carried out in dichloromethane in the presence of boron trifluoride

[^0]etherate to give only endo norbornene derivatives, which consist of a mixture of the diastereomers ( 2 and 3 ) [6] in good yields. The diastereomers were separated by column chromatography on silica gel. The ratio of the diastereomers was determined by HPLC. The results are shown in Table 1. Regardless of the presence or absence of the Lewis acid, the reaction proceeded stereoselectively to give the endo adducts. However, the Lewis acid not only accelerated the reaction rate but also enhanced the diastereoselectivity. Furthermore, the diasteremeric ratio of the products increased with decreasing temperature. The best selectivity was attained when the reaction was carried out at $-78^{\circ} \mathrm{C}$ in the presence of the Lewis acid, while a reversed ratio of diastereomers was observed when the reaction took place at room temperature in the absence of the Lewis acid

To further investigate the stereochemistry the reaction of enantiomerically pure ( $2 R, 5 S$ )-1a [7] with cyclopentadiene was carried out, giving 4 a as a major product ( $\mathbf{4 a : 5 a} 92: 8$ ). The structure of $\mathbf{4 a}$ was unambiguously determined by X -ray analysis [8] and is illustrated in Fig. 1. 4a has the ( $2 S$ )-configuration of the norbornene fragment. The above results suggest that a probable transition state is depicted by assembly 6 , as shown in Fig. 2. Cyclopentadiene approaches the dienophile from the opposite side of the $\mathrm{Fe}(\mathrm{CO})_{3}$ fragment. $\mathrm{BF}_{3}$ coordinates to the carbonyl oxygen from the opposite side of the $\mathrm{Fe}(\mathrm{CO})_{3}$ fragment and stabilizes the s -trans conformation of the $\alpha, \beta$-unsaturated ketones.


1a-c
a: $\mathrm{R}=\mathrm{CH}_{3}, \mathrm{~b}: \mathrm{R}=\mathrm{Ph}, \mathrm{c}: \mathrm{R}=\mathrm{CH}_{3} \mathrm{CH}_{2} \mathrm{CH}_{2}$


2a-c


3a-c

Scheme 1.

Table 1
Diels-Alder reaction of $\alpha, \beta$-unsaturated ketones adjacent to ( $\eta^{4}$-diene) $\mathrm{Fe}(\mathrm{CO})_{3}$ complexes with Cyclopentadiene ${ }^{\text {a }}$

| Complex | R | Temperature <br> $\left({ }^{\circ} \mathrm{C}\right)$ | Lewis <br> acid | Yield $^{\mathrm{b}}$ <br> $(\%)$ | $\mathbf{2 / 3}$ (de (\%) |
| :--- | :--- | :--- | :--- | :--- | :--- |
| $\mathbf{2 a}, \mathbf{3 a}$ | $\mathrm{CH}_{3}$ | -78 | $\mathrm{BF}_{3}$ | 95 | $92 / 8(84)$ |
|  | $\mathrm{CH}_{3}$ | room temp. | $\mathrm{BF}_{3}$ | 92 | $65 / 35(30)$ |
|  | $\mathrm{CH}_{3}$ | -78 | none | 24 | $70 / 30(40)$ |
|  | $\mathrm{CH}_{3}$ | -78 | none | $90^{\mathrm{c}}$ | $71 / 29(42)$ |
|  | $\mathrm{CH}_{3}$ | room temp. | none | 96 | $40 / 60(20)$ |
| $\mathbf{2 b}, \mathbf{3 b}$ | $\mathrm{Ph}_{3}$ | -78 | $\mathrm{BF}_{3}$ | 95 | $89 / 11(78)$ |
| $\mathbf{2 c , 3 c}$ | $\mathrm{C}_{3} \mathrm{H}_{7}$ | -78 | $\mathrm{BF}_{3}$ | 94 | $86 / 14(72)$ |

${ }^{\text {a }}$ A mixture of $1(2.0 \mathrm{mmol})$, cyclopentadiene ( 3.0 mmol ) and Lewis acid ( 2.0 mmol ) in dichloromethane ( 10 ml ) stirred for 24 h .
${ }^{\text {b }}$ Isolated yields based on 1.
${ }^{c}$ Reaction time 60 h .


Fig. 1. Molecular structure of $\mathbf{4 a}$. Selected bond lengths ( $\AA$ ) and angles ( ${ }^{\circ}$ ): $\mathrm{Fe}-\mathrm{C} 22.160(5), \mathrm{Fe}-\mathrm{C} 32.058(4), \mathrm{Fe}-\mathrm{C} 42.035(4), \mathrm{Fe}-\mathrm{C} 5$ $2.138(4), \mathrm{Fe}-\mathrm{Cl} 4$ 1.763(5), $\mathrm{Fe}-\mathrm{Cl} 5 \mathrm{1.795(5)}, \mathrm{Fe-Cl} 16$ 1.798(5), C5-C6 1.482(6), O1-C6 1.216(5), C6-C7 1.495(6); Fe-C2-C3 66.6(2), C1-C2-C3 120.7(4), C2-C3-C4 119.3(4), C3-C4-C5 119.7(4), C4-C5-C6 119.4(4), O1-C6-C5 121.0(4), C6-C7-C8 116.5(4).


Fig. 2.


Scheme 2.


Scheme 3.

Table 2
Diels-Alder reaction of $\alpha, \beta$-unsaturated ketones adjacent to ( $\eta^{4}$-diene) $\mathrm{Fe}(\mathrm{CO})_{3}$ complexes with 1,3-dienes ${ }^{\text {a }}$

| Complex | $\mathrm{R}^{1}$ | $\mathrm{R}^{2}$ | Yield $^{\mathrm{b}}(\%)$ | de (\%) |
| :--- | :--- | :--- | :--- | :--- |
| $7 \mathbf{7 a}, 8 \mathbf{8}$ | $\mathrm{CH}_{3}$ | $\mathrm{CH}_{3}$ | 73 | 86 |
| $7 \mathbf{b}, 8 \mathbf{b}$ | $\mathrm{CH}_{3}$ | $\mathrm{CH}_{2} \mathrm{CH}_{2} \mathrm{CH}=\mathrm{C}\left(\mathrm{CH}_{3}\right)_{2}$ | 53 | 81 |
| $7 \mathrm{c}, 8 \mathbf{c}$ | Ph | $\mathrm{CH}_{3}$ | 61 | 83 |

${ }^{\text {a }}$ A mixture of $1(2.0 \mathrm{mmol})$, cyclopentadiene ( 3.0 mmol ) and boron trifluoride etherate ( 2.0 mmol ) in dichloromethane ( 10 ml ) stirred for 24 h at $0^{\circ} \mathrm{C}$.
${ }^{b}$ Isolated yields based on 1.

The high degree of 1,3 -asymmetric induction is provably due to such a stereochemical assembly between the $\mathrm{Fe}(\mathrm{CO})_{3}$ fragment, Lewis acid, dienophile and 1,3-diene.

Other 1,3 -dienes reacted with $\mathbf{1 a , b}$ to give the cycloadducts with good diastereoselectivity as shown in Scheme 3 and Table 2; but the reaction rate of 1a,b with the dienes was slow compared with cyclopentadiene.

## References and notes

[1] For example: (a) R. Grée, Synthesis, (1989) 341; (b) W.A. Donaldson, P.T. Bell and D.W. Bennett, Tetrahedron Lett., 35 (1994) 5829; (c) A. Hachem, L. Toupet and R. Grée, Tetrahedron Lett., 36 (1995) 1849; d) D. Grée, R. Grée, T.B. Lowinggger, J. Martelli, J.T. Negri and L.A. Paquette, J. Am. Chem. Soc., 114 (1992) 8841; (e) A.J. Pearson, Iron Compounds in Organic Synthesis, Academic Press, London, 1994.
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[4] M. Franck-Neumann, P.-J. Colson, P. Geoffroy and K.M. Taba, Tetrahedron Lett., 33 (1992) 1903.
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[6] All new compounds were characterized by their spectral data. Selected data for $\mathbf{2 a}$. Yellow solids, m.p. $109-111^{\circ} \mathrm{C}$. IR ( KBr ): 2052, 1986 (CO), $1667(\mathrm{C}=\mathrm{O}) \mathrm{cm}^{-1} .{ }^{1} \mathrm{H}$ NMR ( 270 MHz ,
$\left.\mathrm{CDCl}_{3}\right): \delta 1.24(1 \mathrm{H}, \mathrm{d}, J=7.2 \mathrm{~Hz}, \mathrm{CH}=), 1.28-1.33(1 \mathrm{H}, \mathrm{m}$, $\left.\mathrm{CH}_{2}\right), 1.43-1.69\left(5 \mathrm{H}, \mathrm{m}, \mathrm{CH}_{3}, \mathrm{CH}_{2}\right), 1.72(1 \mathrm{H}, \mathrm{dd}, J=3.3,5.2$ $\mathrm{IIz}, \mathrm{CIH}_{2}$ ), 2.85-2.91 ( $\left.\mathrm{III}, \mathrm{m}, \mathrm{CII}\right), 3.00-3.06(1 \mathrm{H}, \mathrm{m}, \mathrm{CH})$, $3.27-3.32(1 \mathrm{H}, \mathrm{m}, \mathrm{CH}), 5.24(1 \mathrm{H}, \mathrm{dd}, J=4.8,7.2 \mathrm{~Hz}, \mathrm{CH}=)$, $5.70-5.75(1 \mathrm{H}, \mathrm{m}, \mathrm{CH}=), 5.92(1 \mathrm{H}, \mathrm{dd}, J=2.8,5.6 \mathrm{~Hz}, \mathrm{CH}=)$, $6.19(1 \mathrm{H}, \mathrm{dd}, J=2.8,5.6 \mathrm{~Hz}, \mathrm{CH}=)$. ${ }^{13} \mathrm{C}$ NMR ( 67.5 MHz , $\left.\mathrm{CDCl}_{3}\right): \delta 19.07\left(\mathrm{CH}_{3}\right), 27.73,50.24\left(\mathrm{CH}_{2}\right), 42.62,47.07,51.30$ $(\mathrm{CH}), 53.56,59.08,81.84,88.38,131,41,137.84(\mathrm{C}=), 206.12$ $(\mathrm{C}=\mathrm{O}), 220.12(\mathrm{CO})$. For 3a. Yellow solids, m.p. $84-86^{\circ} \mathrm{C}$. IR $(\mathrm{KBr}): 2052,1986(\mathrm{CO}), 1669(\mathrm{C}=\mathrm{O}) \mathrm{cm}^{-1} .{ }^{1} \mathrm{H}$ NMR ( 270 $\left.\mathrm{MHz}, \mathrm{CDCl}_{3}\right): \delta 1.24(1 \mathrm{H}, \mathrm{d}, J=7.2 \mathrm{~Hz}, \mathrm{CH}=), 1.28-1.33(1 \mathrm{H}$, $\left.\mathrm{m}, \mathrm{CH}_{2}\right), 1.43-1.69\left(5 \mathrm{H}, \mathrm{m}, \mathrm{CH}_{3}, \mathrm{CH}_{2}\right), 1.72(1 \mathrm{H}, \mathrm{dd}, J=3.3$, $\left.5.2 \mathrm{~Hz}, \mathrm{CH}_{2}\right), 2.88(1 \mathrm{H}, \mathrm{s}, \mathrm{CH}), 2.92-3.00(1 \mathrm{H}, \mathrm{m}, \mathrm{CH})$, $3.14-3.18(1 \mathrm{H}, \mathrm{m}, \mathrm{CH}), 5.24(1 \mathrm{H}, \mathrm{dd}, J=4.8,7.2 \mathrm{~Hz}, \mathrm{CH}=)$, $5.70-5.75(1 \mathrm{H}, \mathrm{m}, \mathrm{CH}=), 5.82(1 \mathrm{H}, \mathrm{dd}, J=2.8,5.6 \mathrm{~Hz}, \mathrm{CH}=)$, $6.15(1 \mathrm{H}, \mathrm{dd}, J=2.8,5.6 \mathrm{~Hz}, \mathrm{CH}=) .{ }^{13} \mathrm{C}$ NMR ( 67.5 MHz , $\left.\mathrm{CDCl}_{3}\right): \delta 19.07\left(\mathrm{CH}_{3}\right), 28.40,48.82\left(\mathrm{CH}_{2}\right), 42.81,46.05,48.83$
$(\mathrm{CH}), 53.32,59.00,81.77,88.45,131.50(\mathrm{C}=), 205.90(\mathrm{C}=\mathrm{O})$, 220.33 (CO).
[7] A. Musco, R. Palumbo and G. Paiaro, Inorg. Chim Acta, 5 (1971) 157.
[8] Crystallographic details For 4a. $\mathrm{C}_{16} \mathrm{H}_{16} \mathrm{O}_{4} \mathrm{Fe}$, FW 328.15. 4a was crystallized in space group $P 2, / C$ (No. 14) with lattice parameters $a=6.196(4), b=11.198(6), c=21.975(4) \AA, V=$ 1511(1) $\AA^{3}, Z=4, D_{\text {calc }}=1.442 \mathrm{~g} \mathrm{~cm}^{-3}$. From a crystal of dimensions $0.250 \times 0.250 \times 0.150 \mathrm{~mm}^{3}, 3672$ independent reflections were measured over a $2 \theta$ range of $6-55.1^{\circ}$ using Mo $\mathrm{K} \alpha$ radiation ( $\lambda=0.71069 \AA$ ) at $23^{\circ} \mathrm{C}$. The Fe atom was found from a three-dimensional Patterson map, and the non-hydrogen atoms were located by subsequent difference Fourier syntheses. All hydrogen atoms were included at calculated positions. Full-matrix least-squares refinement using 1543 reflections with $I>5.00$ $\sigma(I)$ converged to final agreement factors $R=0.034$ and $R_{w}=$ 0.035 with GOF 2.25 .


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